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NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

09/05/2007

Hollingsworth & Funk, LLC Suite 125 8009 34th Avenue South Minneapolis, MN 55425 EXAMINER

DASS, HARISH T

ART UNIT PAPER NUMBER

3693 DATE MAILED: 09/05/2007

| 1 | APPLICATION NO. FILING DATE | | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-----------------------------|------------|----------------------|---------------------|------------------|
| • | 09/520,825 | 03/08/2000 | Kelli Hustad Hucler | HUEC.300USO1 | 1844 |

TITLE OF INVENTION: ELECTRONIC COMMUNICATION SYSTEM AND METHOD FOR FACILITATING FINANCIAL TRANSACTION BIDDING

AND REPORTING PROCESSES

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES | \$700 | \$0 | \$0 | \$700 | 12/05/2007 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

| INSTRUCTIONS: This appropriate. All further indicated unless correcte maintenance fee notifica | ed below or directed oth | or tran ig the l ierwise | smitting the ISSU Patent, advance or in Block 1, by (a | E FEE and PUBLICA ders and notification o) specifying a new cor | TION FEE (if requi maintenance fees w respondence address; | ired). E /ill be and/o | Blocks 1 through 5 sh mailed to the current r (b) indicating a sepa | ould be completed where correspondence address as rate "FEE ADDRESS" for |
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| | ENCE ADDRESS (Note: Use Bl | ock 1 for | any change of address) | F | ce(s) Transmittal. Thi | is certif I paper | ficate cannot be used to | domestic mailings of the or any other accompanying at or formal drawing, must |
| | 7590 09/05 | /2007 | | | Cer | tificate | of Mailing or Transr | nission |
| Hollingsworth & Funk, LLC Suite 125 8009 34th Avenue South | | | | I S a | hereby certify that the lates Postal Service was ldressed to the Mail ansmitted to the USP | is Fec(vith sul Stop TO (57 | s) Transmittal is being ficient postage for firs ISSUE FEE address 1) 273-2885, on the da | deposited with the United t class mail in an envelope above, or being facsimile tte indicated below. |
| Minneapolis, M | N 55425 | | | | | | | (Depositor's name) |
| | | | | <u> </u> | | | | (Signature) |
| | | | | L | | | | (Date) |
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| 09/520,825 | 03/08/2000 | - | | Kelli Hustad Hueler | | H | IUEC.300USO1 | 1844 |
| TITLE OF INVENTION AND REPORTING PRO | | AUNIC | ATION SYSTEM | AND METHOD FOR | FACILITATING FIN | IANCIA | AL TRANSACTION E | BIDDING |
| APPLN. TYPE | SMALL ENTITY | IS | SUE FEE DUE | PUBLICATION FEE DU | E PREV. PAID ISSU | E FEE | TOTAL FEE(S) DUE | DATE DUE |
| nonprovisional | YES | | \$700 | \$0 | \$0 | | \$700 | 12/05/2007 |
| EXAM | IINER | | ART UNIT | CLASS-SUBCLASS | | | | |
| DASS, H | ARISH T | | 3693 | 705-036000 | | | | |
| Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. | | | Correspondence | 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. | | | | |
| 3. ASSIGNEE NAME A PLEASE NOTE: Un recordation as set for (A) NAME OF ASSI | less an assignee is ident th in 37 CFR 3.11. Com | ified b | elow, no assignee | data will appear on the | patent. If an assign an assignment. | | | ocument has been filed for |
| Please check the approp | riate assignee category of | rcatego | ories (will not be pr | rinted on the patent): | ☐ Individual ☐ C | orporat | tion or other private gro | oup entity Government |
| 4a. The following fee(s) are submitted: lssue Fee Publication Fee (No small entity discount permitted) Advance Order - # of Copies | | | | 4b. Payment of Fcc(s): (Please first reapply any previously paid issue fee shown above) ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). | | | | |
| | ns SMALL ENTITY stat | us. Sce | 37 CFR 1.27. | | | | TITY status. See 37 Cl | |
| NOTE: The Issue Fee ar interest as shown by the | nd Publication Fee (if req records of the United Sta | uired) ates Pat | will not be accepte tent and Trademark | d from anyone other that Office. | n the applicant; a reg | istered | attorney or agent; or th | ne assignee or other party in |
| Authorized Signature | | | | | Date | | | |
| Typed or printed name | | | | Registration No | | | | |
| This collection of informan application. Confider submitting the complete | nation is required by 37 on tiality is governed by 35 application form to the | CFR 15 U.S.C e USP1 | 311. The information of the control | on is required to obtain 1.14. This collection is depending upon the in | or retain a benefit by estimated to take 12 dividual case. Any c | the put minute ommer | olic which is to file (and as to complete, including the on the amount of the | d by the USPTO to process) ag gathering, preparing, and ane you require to complete |

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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| 75 | 90 09/05/2007 | | EXAMINER | | | | |
| Hollingsworth & | • | | DASS, HA | DASS, HARISH T | | | |
| Suite 125 | , | ART UNIT | PAPER NUMBER | | | | |
| 8009 34th Avenue Minneapolis, MN 5 | | | 3693 DATE MAILED: 09/05/2007 | | | | |

Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.